

USAID/OFDA Proposal Guidelines Risk Management PIRS

February 2018

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Building Community Awareness/Mobilization
Indicator: Number of people participating in training	

INDICATOR DESCRIPTION

Definition(s)

Training refers to new training or retraining of individuals and assumes that training is conducted according to national or international standards when these exist. Each training session must have specific learning objectives, a course outline or curriculum, and expected knowledge, skills and/or competencies to be gained by participants. Count participants once who complete the full training regardless of

- If a training course covers more than one topic, or
- If a training course is conducted in more than one session/training event. If individuals are retrained within the reporting period, they should be included in the count. If there is a required competency level, count only participants that meet it.

Numerator: Number of people trained in DRR activities

Denominator: N/A

Unit of Measure: Number of individuals

Disaggregated by: Sex

Suggested Data Collection Method: Tally people who completed entire training

course in DRR.

Suggested Data Source: Training participant records

SECTOR:	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR:	Building Community Awareness/Mobilization
Indicator: Percentage of people who retain skills and knowledge after two months	

INDICATOR DESCRIPTION

Definition(s)

This indicator measures a representative sample of individuals who participated in a training and were determined, when tested or quizzed, to have retained core skills from the training. Training refers to new training or retraining of individuals and assumes that training is conducted according to national or international standards when these exist. Each training session must have specific learning objectives, a course outline or curriculum, and expected knowledge, skills and/or competencies to be gained by participants.

Count participants once who complete the full training regardless of

- If a training course covers more than one topic, or
- If a training course is conducted in more than one session/training event. If individuals are retrained within the reporting period, they should be included in the count. If there is a required competency level, count only participants that meet it.

Numerator: Number of people trained in DRR activities who have retained an adequate percentage of their training when tested/quizzed or are able to perform the operational tasks two months (or more) following the completion of their USAID/OFDA-funded training.

Denominator: Total number of people trained in DRR activities

Unit of Measure: Percentage of individuals retaining training of the whole

Disaggregated by: Sex

Suggested Data Collection Method: Tally people who completed training (count only those individuals who have completed an entire training course) in DRR activities who demonstrate that they have retained a crucial portion of knowledge or adequate percentage of skills/learning objectives through a post test at least two months after the training has finished. Ensure that there is no double-counting. Divide the total number trained (the denominator) by this number (the numerator) and multiply by 100 for the percentage to be reported.

Suggested Data Source: Training participant records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)	
SUB-SECTOR	Building Community Awareness/Mobilization	
Indicator: Percentage of attendees at joint planning meetings who are from the local community		
INDICATOR DESCRIPTION		
Definition(s)		

Joint planning meetings may include variety of stakeholders such as national and local governments, NGOs, International aid organizations, community members and others. Each meeting must have a specific goal, agenda and expected outcome. An individual is considered to have attended a meeting if they were present for the entire meeting and signed in.

Numerator: Number of attendees from the local community

Denominator: Total number of people attending to joint DRR planning meeting

Unit of Measure: Percentage of individuals from local community who attend the joint planning meetings

Disaggregated by: Sex

Suggested Data Collection Method: Tally people who attended joint planning meetings. Divide the total number people who attended to joint DRR planning meeting (the denominator) by this number (the numerator) and multiply by 100 for the percentage to be reported.

Suggested Data Source: Meeting participant records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Capacity Building/Training

Indicator: Number of people trained in disaster preparedness, risk reduction and management

INDICATOR DESCRIPTION

Definition(s)

Training refers to new training or retraining of individuals and assumes that training is conducted according to national or international standards, when these exist. Each training session must have specific learning objectives, a course outline or curriculum, and expected knowledge, skills and/or competencies to be gained by participants. Count participants once who complete the full training regardless of

- If a training course covers more than one topic, or
- If a training course is conducted in more than one session/training event. If individuals are retrained within the reporting period, they should be included in the count. If there is a required competency level, count only participants that meet it.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of individuals

Disaggregated by: Sex

Suggested Data Collection Method: Tally people who completed entire training course in disaster preparedness, risk reduction and management.

Suggested Data Source: Training participant records

SECTOR:	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR:	Capacity Building/Training

Indicator: Number of people trained in First Aid, Search and Rescue, or health related Disaster Risk Reduction activities

INDICATOR DESCRIPTION

Definition(s)

Training refers to new training or retraining of individuals and assumes that training is conducted according to national or international standards, when these exist. Each training session must have specific learning objectives, a course outline or curriculum, and expected knowledge, skills and/or competencies to be gained by participants. Count participants once who complete the full training regardless of

- If a training course covers more than one topic, or
- If a training course is conducted in more than one session/training event. If individuals are retrained within the reporting period, they should be included in the count. If there is a required competency level, count only participants that meet it.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of individuals

Disaggregated by: Sex

Suggested Data Collection Method: Tally people who completed entire training

course in First Aid, Search and Rescue, or health related DRR.

Suggested Data Source: Training participant records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Capacity Building/Training

Indicator: Number of people passing final exams or receiving certificates

INDICATOR DESCRIPTION

Definition(s)

Training refers to new training or retraining of individuals and assumes that training is conducted according to national or international standards, when these exist. Each training session must have specific learning objectives, a course outline or curriculum, and expected knowledge, skills and/or competencies to be gained by participants. Count participants once who complete the full training regardless of

If a training course covers more than one topic, or

• If a training course is conducted in more than one session/training event. If individuals are retrained within the reporting period, they should be included in the count. If there is a required competency level, count only participants that meet it.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of individuals

Disaggregated by: Sex

Suggested Data Collection Method: Tally people who completed training and passed

exam and/or received certificate.

Suggested Data Source: Training participant records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Capacity Building/Training

Indicator: Percentage of people who retain skills and knowledge after two months

INDICATOR DESCRIPTION

Definition(s):

Training refers to new training or retraining of individuals and assumes that training is conducted according to national or international standards, when these exist. Each training session must have specific learning objectives, a course outline or curriculum, and expected knowledge, skills and/or competencies to be gained by participants. Count participants once who complete the full training regardless of

- If a training course covers more than one topic, or
- If a training course is conducted in more than one session/training event. If individuals are retrained within the reporting period, they should be included in the count. If there is a required competency level, count only participants that meet it.

Numerator: Number of people trained in DRR activities who have retained an adequate percentage of their training when tested/quizzed or able to perform the operational tasks two months (or more) following the completion of their USAID/OFDA-funded training.

Denominator: Total number of people trained in DRR activities

Unit of Measure: Percentage of individuals retaining training of the whole

Disaggregated by: Sex

Suggested Data Collection Method: Tally people who completed entire training course in DRR activities who demonstrate that they have retained a crucial portion of knowledge or adequate percentage of skills/learning objectives through a post test at least two months after the training has finished. Ensure that there is no double-counting. Divide the total number trained (the denominator) by this number (the numerator) and multiply by 100 for the percentage to be reported.

Suggested Data Source: Training participant records

SECTOR:	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR:	Global Advocacy and Engagement

Indicator: Number of jointly organized events held

INDICATOR DESCRIPTION

Definition(s)

Joint events must include more than one sponsoring organization, which can be national and local governments, NGOs, international aid organizations, community organizations or other organizations. Each event must have a specific goal, agenda and expected outcome.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of events

Disaggregated by: N/A

Suggested Data Collection Method: Tally the number of jointly organized events.

Suggested Data Source: Event reports

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Global Advocacy and Engagement

Indicator Number of attendees at jointly organized events

INDICATOR DESCRIPTION

Definition(s)

Joint events may include variety of participants/stakeholders such as national and local governments, NGOs, International aid organizations, community members and other stakeholders. Each event must have a specific goal, agenda and expected outcome.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of individuals

Disaggregated by: N/A

Suggested Data Collection Method: Tally people who attended jointly organized

event.

Suggested Data Source: Meeting participant records/registration records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Global Advocacy and Engagement

Indicator: Number of documents, plans, or agreements modified to include DRR language

INDICATOR DESCRIPTION

Definition(s)

Documents may include guidelines, strategies, analysis, or outlines of operational processes or policies. Plans can be national or local level disaster response or other relevant sectoral plans emphasizing DRR. Agreements may be between different levels of governments, community, and stakeholders. Plans, documents, and agreements satisfy this indicator if they have been revised to emphasize DRR provisions.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of documents, plans, or agreements

Disaggregated by: N/A

Suggested Data Collection Method: Tally number of documents, plans or

agreements.

Suggested Data Source: Review of project records; official government (national or local) documents; public gazettes, registers, or other publications; relevant legislative committees; and/or news media

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Integration/Enhancement within Education Systems and Research

Indicator: Number of DRR curricula developed, by educational level (e.g., primary, secondary, post-secondary)

INDICATOR DESCRIPTION

Definition(s)

A curriculum satisfies this indicator if it is a course of study in a formal education system such as school or university that is entirely or substantially focused on DRR.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of DRR curricula

Disaggregated by: N/A

Suggested Data Collection Method: Tally number of curricula developed that is

adopted in the formal education system

Suggested Data Source: Course schedules of educational institutions

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Integration/Enhancement within Education Systems and Research
Indicator: Number of students educated on DRR	
INDICATOR DESCRIPTION	
Definition(s)	

Definition(s)

Students are counted for this indicator if they are registered to study in a school, college,

or formal education institution with a substantial DRR program or curriculum supported through USAID/OFDA.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of students

Disaggregated by: Sex

Suggested Data Collection Method: Tally number of students enrolled in the DRR

program

Suggested Data Source: Registration records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Integration/Enhancement within Education Systems and Research

Indicator: Number of DRR related programs established within educational institutions

INDICATOR DESCRIPTION

Definition(s)

Program can be an event, conference, seminar, workshop, certificate program, or other plan of action that promotes DRR in formal educational institutions.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of DRR programs

Disaggregated by: N/A

Suggested Data Collection Method: Tally number of DRR programs developed or

implemented in the formal education system

Suggested Data Source: Project records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Policy and Planning

Indicator: Number of hazard risk reduction plans, strategies, policies, disaster preparedness, and contingency plans developed and in place

INDICATOR DESCRIPTION

Definition(s)

Hazard risk reduction plans, strategies, policies, disaster preparedness, and contingency plans must be developed or updated for the community or different administrative levels of government with participation by all stakeholders with the intention of implementation or adoption either by the government or community to reduce disaster risk on a target area.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of plans, strategies, and policies

Disaggregated by: N/A

Suggested Data Collection Method: Tally number of plans, strategies, policies

developed and in place.

Suggested Data Source: Review of project records; official government (national or local) documents; public gazettes, registers, or other publications; relevant legislative

committees; and/or news media

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Policy and Planning

Indicator: Number of people participating in discussions regarding national risk reduction strategies as a result of the program

INDICATOR DESCRIPTION

Definition(s)

Programs must involve National Disaster Risk Reduction Strategy as outline in Sendai Framework in order to raise awareness, increase participation, or further implementation of national DRR framework.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of individuals

Disaggregated by: Sex

Suggested Data Collection Method: Tally number of people that are participating in the meetings, programs, plans, workshops, events, and other relevant programs that involve discussion or implementation of national risk reduction strategies as a result of USAID/OFDA-funded activities.

Suggested Data Source: Meeting agendas and outcomes, meeting records, partner participation in the relevant events, assessments, or monitoring reports

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Policy and Planning

Indicator: National and local risk assessment, hazard data and vulnerability information is available within the targeted areas (Y/N)

INDICATOR DESCRIPTION

Definition(s)

National and local risk assessment, hazard data, and information of vulnerability are made available free of charge and easily accessible to public, stakeholders, and governments at all levels and others involved in DRR.

Numerator: N/A

Denominator: N/A

Unit of Measure: N/A

Disaggregated by: N/A

Suggested Data Collection Method: Assessment or studies conducted by partners, national or local entities to determine whether risk assessment is conducted, hazard data and vulnerability information are collected and made available to public in target areas.

Suggested Data Source: Assessment reports or national databases, plans, atlases, or similar documents and reports (static reports or real-time data and information)

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Public-Private Partnerships

Indicator: Number of private sector businesses directly engaged in response or DRR-related activities as a result of the program

INDICATOR DESCRIPTION

Definition(s)

This indicator measures the number of businesses that have directly participated in the USAID/OFDA-supported activities under this sub-sector. Businesses are considered to have participated if they directly engage with the program such as participate in program activities or receive any program services (e.g., capacity building or awareness sessions). If there are multiple franchises/locations of the same business, count each location separately.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of individual businesses

Disaggregated by: N/A

Suggested Data Collection Method: Mix of primary and secondary data collection

(observation, interviews, assessments), informed by program strategy

Suggested Data Source: Assessments/surveys; project records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Public-Private Partnerships

Indicator: Estimated total number of individuals indirectly benefiting from DRR-related activities as a result of the program

INDICATOR DESCRIPTION

Definition(s)

This indicator is an approximate calculation of the number of ultimate intended beneficiaries of the USAID/OFDA-supported activities in this sub-sector. "Indirect" in this instance means that these people do not directly receive any assistance from USAID/OFDA (such as a cash grant, in-kind items, a voucher, or training), but they nonetheless can be assumed to have benefited from the USAID/OFDA-supported Public-Private Partnerships activities.

Describe your method of estimation in your project narrative which should include those who are vulnerable and most affected by the disaster and additionally who are ultimately benefiting from USAID/OFDA-funded direct activities or USAID/OFDA-funded support to private-sector businesses.

Numerator: N/A

Denominator: N/A

Unit of Measure: Individuals

Disaggregated by: N/A

Suggested Data Collection Method: Mix of primary and secondary data collection, informed by program strategy. For example, you may wish to use the estimated number of vulnerable customers each participating business has, with subtraction for double-counting if relevant. For work with physical marketplaces, you may wish to estimate the average traffic over a typical period of time. Or, you may wish to use a causal model and market assessments to estimate who the project is ultimately intending to support. Example: For activities with a large employer in an area, you may wish to use the number of employees if the ultimate goal of the project is to reduce the risk that the business will close during a disaster, causing people to lose their livelihoods.

Suggested Data Source: Market assessments; assessments/surveys of beneficiary businesses; project records

SECTOR	Disaster Risk Management Policy and Practice (RMPP)
SUB-SECTOR	Public-Private Partnerships

Indicator: Number of government disaster contingency plans that incorporate privatesector aspects as a result of the program

INDICATOR DESCRIPTION

Definition(s)

This indicator counts how many official local-authority emergency planning documents that reference businesses or the business community. For example, they might refer to or mandate the creation of a private-sector liaison or refer to local chambers of commerce or other bodies that have a role to play in organizing the role and activities of the business community.

Only count the plan if

- It is considered as final/approved; and
- Prior to the program it did not reference the private sector and now it does.

Numerator: N/A

Denominator: N/A

Unit of Measure: Number of plans

Disaggregated by: N/A

Suggested Data Collection Method: Tally number of plans developed and in place.

Suggested Data Source: Review of project records; official government (national or

local) documents; public gazettes, registers, or other publications; relevant legislative committees; and/or news media